



2013

**Performance Agreement  
2013 - 2014**

**DIRECTOR: CORPORATE SERVICES**

PERFORMANCE AGREEMENT

MADE AND ENTERED INTO BY AND BETWEEN:

THE EXECUTIVE AUTHORITY OF  
THE BEAUFORT WEST MUNICIPALITY

AS REPRESENTED BY THE ACTING MUNICIPAL MANAGER

MR AC Makendlana

(herein and after referred as Employer)

AND

DIRECTOR: CORPORATE SERVICES

NE Mfundisi

(herein and after referred as Employee)

FOR THE

FINANCIAL YEAR: 1 JULY 2013 - 30 JUNE 2014

NE M  
Fundisi  
AC  
Makendlana

**1. INTRODUCTION**

- 1.1 The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The Employer and the Employee are hereinafter referred as "the Parties";
- 1.2 Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the Parties to conclude an annual performance agreement;
- 1.3 The Parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Employee to a set of outcomes that will promote local government goals;
- 1.4 The Parties wish to ensure that there is compliance with Sections 57(4B) and 57(5) of the Systems Act;
- 1.5 In this Agreement the followings terms will have the meaning ascribed thereto:
  - 1.5.1 "this Agreement" – means the performance agreement between the Employer and the employee and the Annexures thereto;
  - 1.5.2 "the Executive Authority" – means the Mayoral Committee of the Municipality constituted in terms of Section 55 of the Local Government: Municipal Structures Act 117 of 1998 ("the Structures Act") as represented by its chairperson, the Executive Mayor;
  - 1.5.3 "the Employee" means the Director appointed in terms of Section 82 of the Structures Act;
  - 1.5.4 "the Employer" means Beaufort West Municipality; and
  - 1.5.5 "the Parties" means the Employer and Employee.

**2. PURPOSE OF THIS AGREEMENT**

- 2.1 To comply with the provisions of Section 57(1)(b),(4B) and (5) of the Systems Act as well as the Contract of Employment entered into between the Parties;
- 2.2 To specify objectives and targets established for the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance targets and accountabilities;
- 2.3 To specify accountabilities as set out in the Performance Plan (Annexure A);

Handwritten signatures and initials: "AC", "MS", "K", "NIE", and "EdwP".

- 2.4 To monitor and measure performance against set targeted outputs and outcomes;
- 2.5 To establish a transparent and accountable working relationship;
- 2.6 To appropriately reward the employee in accordance with section 11 of this agreement; and
- 2.7 To give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining improved service delivery.

**3. COMMENCEMENT AND DURATION**

- 3.1 This Agreement will commence on 01 July 2013 and will remain in force until 30 June 2014 where-after a new Performance Agreement shall be concluded between the parties for the next financial year or any portion thereof;
- 3.2 The Parties will conclude a new Performance Agreement that replaces this Agreement at least once a year by not later than 31<sup>st</sup> of July of the succeeding financial year;
- 3.3 This Agreement will terminate on the termination of the Employee's contract of employment for any reason; and
- 3.4 The content of this Agreement may be revised at any time during the abovementioned period to determine the current applicability of the matters previously agreed upon.

**4. PERFORMANCE OBJECTIVES**

- 4.1 The Performance Plan (Annexure A) sets out –
  - 4.1.1 The performance objectives and targets that must be met by the Employee;
  - 4.1.2 The time frames within which those performance objectives and targets must be met; and
  - 4.1.3 The core competency requirements (Annexure B – definitions) as the management skills regarded as critical to the position held by the Employee.
- 4.2 The performance objectives and targets reflected in Annexure A are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget

*Handwritten initials:* AC, TMS, AC, N/E, Edup

Implementation Plan (SDBIP) and the Budget of the Employer, and shall include:

- 4.2.1 Key objectives that describe the main tasks that need to be done;
  - 4.2.2 Key performance indicators that provide the details of the evidence that must be provided to show that a key objective has been achieved;
  - 4.2.3 Target dates that describe the timeframe in which the targets must be achieved; and
  - 4.2.4 Weightings showing the relative importance of the key objectives to each other.
- 4.3 The Personal Development Plan (Annexure C) sets out the Employee's personal development requirements in line with the objectives and targets of the Employer; and
- 4.4 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

## 5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system that the Employer adopted for the employees of the Employer;
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the employees and service providers to perform to the standards required;
- 5.3 The Employer will consult the Employee about the specific performance standards and targets that will be included in the performance management system applicable to the Employee;
- 5.4 The Employee undertakes to actively focus on the promotion and implementation of the Key Performance Areas (including special projects relevant to the employee's responsibilities) within the local government framework;
- 5.5 The criteria upon which the performance of the Employee shall be assessed shall consist of two components, Operational Performance and Core Competency Requirements (CCR's), both of which shall be contained in the Performance Agreement;

AC  
NIE  
EWP  
AC  
TMS

- 5.6 The Employee's assessment will be based on his performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan, which are linked to the KPAs, and will constitute 80% of the overall assessment result as per the weightings agreed to between the Employer and Employee:

KPA No	Key Performance Area
1	Service Delivery and Infrastructure
2	Municipal Transformation and Institutional Development
3	Local Economic Development
4	Municipal Financial Viability and Management
5	Good Governance, Public Participation Accountability and Transparency
<b>TOTAL 80%</b>	

- 5.7 The CCR's will make up the other 20% of the Employee's assessment score. CCR's that are deemed to be most critical for the Employee's specific job are reflected in the list below as agreed to between the Employer and Employee:

CCR No	Core Competency Requirement
1	Strategic Leadership and Management
2	Programme and Project Management
3	<b>Financial Management (Compulsory)</b>
4	Change Management
5	Knowledge Management
6	Problem Solving and Analytical Thinking
7	<b>People and Diversity Management (Compulsory)</b>
8	<b>Client Orientation and Customer Focus (Compulsory)</b>
9	Service Delivery Innovation
10	Communication
11	Accountability and Ethical Conduct
<b>TOTAL 20%</b>	

## 6. PERFORMANCE ASSESSMENT

- 6.1 The Performance Plan (Annexure A) to this Agreement sets out –
- 6.1.1 The standards and procedures for evaluating the Employee's performance; and
- 6.1.2 The intervals for the evaluation of the Employee's performance.

AC  
A

AC  
NIE  
MUD

Edup

- 6.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force;
- 6.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames;
- 6.4 The Employee's performance will be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan (IDP) as described in 6.6 – 6.12 below;
- 6.5 The Employee will submit quarterly performance reports (SDBIP) and a comprehensive annual performance report at least one week prior to the performance assessment meetings to the Evaluation Panel Chairperson for distribution to the panel members for preparation purposes;
- 6.6 Assessment of the achievement of results as outlined in the performance plan:
  - 6.6.1 Each KPI or group of KPIs shall be assessed according to the extent to which the specified standards or performance targets have been met and with due regard to ad-hoc tasks that had to be performed under the KPI;
  - 6.6.2 A rating on the five-point scale shall be provided for each KPI or group of KPIs which will then be multiplied by the weighting to calculate the final score;
  - 6.6.3 The Employee will submit his self-evaluation to the Employer prior to the formal assessment;
  - 6.6.4 In the instance where the employee could not perform due to reasons outside the control of the employer and employee, the KPI will not be considered during the evaluation. The employee should provide sufficient evidence in such instances; and
  - 6.6.5 An overall score will be calculated based on the total of the individual scores calculated above.
- 6.7 Assessment of the CCRs:
  - 6.7.1 Each CCR shall be assessed according to the extent to which the specified standards for the required proficiency level have been met;
  - 6.7.2 A rating on the five-point scale shall be provided for each CCR which will then be multiplied by the weighting to calculate the final score;

*Handwritten signatures and initials:*  
A large signature on the left, and the initials "NIE" and "EdP" on the right, with another signature below them.

6.7.3 Each CCRs will be assessed in terms of the definitions provided (Annexure B) on a 360 degree basis during the mid-year and year-end reviews and will inform the final score awarded by the evaluation committee. 360 degree means that the employee's peers and managers reporting to him will assess his CCRs; and

6.7.4 An overall score will be calculated based on the total of the individual scores calculated above.

6.8 Overall rating

6.8.1 An overall rating is calculated by adding the overall scores as calculated in 6.6.5 and 6.7.4 above; and

6.8.2 Such overall rating represents the outcome of the performance appraisal.

6.9 The assessment of the performance of the Employee will be based on the following rating scale for KPIs and CCRs:

Level	Terminology	Description
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the

*He* *Two* *the* *the* *the* *the*



Level	Terminology	Description
		commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

- 6.10 For purposes of evaluating the performance of the Employee for the mid-year and year-end reviews, an evaluation panel constituted of the following persons will be established –
- 6.10.1 Municipal Manager;
  - 6.10.2 Municipal Manager from another municipality;
  - 6.10.3 Chairperson of the Performance Audit Committee or in his/her absence thereof, the Chairperson of the Audit Committee; and
  - 6.10.4 The Member of the Mayoral Committee (Portfolio Chairperson).
- 6.11 The Municipal Manager will evaluate the performance of the Employee as at the end of the 1<sup>st</sup> and 3<sup>rd</sup> quarters; and
- 6.12 The Municipal Manager will give performance feedback to the Employee within five (5) working days after each quarterly and annual assessment meetings.

**7. SCHEDULE FOR PERFORMANCE REVIEWS**

- 7.1 The performance of the Employee in relation to his performance agreement shall be reviewed on the following dates with the understanding that the reviews in the first and third quarter may be verbal if performance is satisfactory:

Quarter	Review Period	Review to be completed by
1	July - September	October 2013
2	October – December	February 2014
3	January – March	April 2014
4	April - June	September 2014

- 7.2 The Employer shall keep a record of the mid-year and year-end assessment meetings;
- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance;
- 7.4 The Employer will be entitled to review and make reasonable changes to the provisions of Annexure A from time to time for operational reasons.

*Handwritten signatures and initials:*  
 AG, TMS, AC, N.E, Edul

The Employee will be fully consulted before any such change is made; and

- 7.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and/or amended as the case may be. In that case, the Employee will be fully consulted before any such change is made.

## 8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan (PDP) for addressing developmental gaps is attached as Annexure C. Such Plan may be implemented and/or amended as the case may be after the each assessment. In that case, the Employee will be fully consulted before any such change or plan is made.

## 9. OBLIGATIONS OF THE EMPLOYER

9.1 The Employer shall-

- 9.1.1 Create an enabling environment to facilitate effective performance by the employee;
- 9.1.2 Provide access to skills development and capacity building opportunities;
- 9.1.3 Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
- 9.1.4 On the request of the Employee delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and
- 9.1.5 Make available to the Employee such resources as the Employee may reasonably require from time to time assisting him to meet the performance objectives and targets established in terms of this Agreement.

## 10. CONSULTATION

10.1 The Employer agrees to consult the Employee timeously where the exercising of its powers will have amongst others-

- 10.1.1 A direct effect on the performance of any of the Employee's functions;

AC  
THD  
K N.C  
EdP

10.1.2 Commit the Employee to implement or to give effect to a decision made by the Employer; and

10.1.3 A substantial financial effect on the Employer.

10.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 12.1 as soon as is practicable to enable the Employee to take any necessary action with delay.

## 11. REWARD

11.1 The evaluation of the Employee's performance will form the basis for rewarding outstanding performance or correcting unacceptable performance;

11.2 The payment of the performance bonus is determined by the performance score obtained during the 4<sup>th</sup> quarter and as informed by the quarterly performance assessments;

11.3 The performance bonus will be awarded based on the following scheme:

Performance Rating		Bonus Calculation:
0% - 45%	Poor performance	0% of Total package
46% - 55%	Average Performance	5% of Total Package
56% - 65%	Fair Performance	8% of Total Package
66% - 75%	Good Performance	11% of Total Package
76% - 100%	Excellent Performance	14% of Total Package

11.4 In the event of the Employee terminating his services during the validity period of this Agreement, the Employee's performance will be evaluated for the portion during which he was employed and he will be entitled to a pro-rata performance bonus based on his evaluated performance for the period of actual service; and

11.5 The Employer will submit the total score of the annual assessment and of the Employee, to full Council for purposes of recommending the bonus allocation.

## 12. MANAGEMENT OF EVALUATION OUTCOMES

12.1 Where the Employer is, any time during the Employee's employment, not satisfied with the Employee's performance with respect to any matter dealt with in this Agreement, the Employer will give notice to the Employee to attend a meeting;

12.2 The Employee will have the opportunity at the meeting to satisfy the Employer of the measures being taken to ensure that his performance

*Handwritten signatures:*  
K. [unclear] [unclear]

becomes satisfactory and any programme, including any dates, for implementing these measures;

12.3 Where there is a dispute or difference as to the performance of the Employee under this Agreement, the Parties will confer with a view to resolving the dispute or difference; and

12.4 In the case of unacceptable performance, the Employer shall –

12.4.1 Provide systematic remedial or developmental support to assist the Employee to improve his performance; and

12.4.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his or her duties.

### 13. DISPUTE RESOLUTION

13.1 In the event that the Employee is dissatisfied with any decision or action of the Employer in terms of this Agreement, or where a dispute or difference arises as to the extent to which the Employee has achieved the performance objectives and targets established in terms of this Agreement, the Employee may within 3 (three) business days, meet with the Employer with a view to resolving the issue. The Employer will record the outcome of the meeting in writing;

13.2 If the Parties cannot resolve the issues within 10 (ten) business days, an independent arbitrator, acceptable to both parties, shall be appointed to resolve the matter within 30 (thirty) business days; and

13.3 In the event that the mediation process contemplated above fails, the relevant clause of the Contract of Employment shall apply.

### 14. GENERAL

14.1 The contents of this agreement and the outcome of any review conducted in terms of Annexure A may be made available to the public by the Employer; and

14.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

Thus done and signed at \_\_\_\_\_ on the \_\_\_\_\_ day July of 2013.

AS WITNESSES:


1. 

  
ACTING MUNICIPAL MANAGER

2. \_\_\_\_\_

Thus done and signed at Benifon West on the 03 day July of 2013.

AS WITNESSES:

1. 

  
DIRECTOR

2. EduPesis

## Performance Plan

Director: Corporate Services

*Handwritten initials and notes:*  
MR  
Edup  
N  
DMS

**The Performance Plan sets out:**

- a) Key Performance Areas that the employee should focus on, performance objectives, key performance indicators and targets that must be met within a specific timeframe;
- b) Core competencies required from employees prescribed in the Performance Regulations for Municipal Managers and Managers directly accountable to Municipal Managers, R805 of 2006.

The employee's assessment will be based on his/her performance in terms of the outputs/outcomes (performance indicators) identified as per the performance plan which are linked to the National KPA's, which constitute 80% of the overall assessment result as per the weightings agreed to between the employer and employee.

KPA's covering the main areas of work will account for 80% and CCRs will account for 20% of the final assessment.

The assessment of the performance of the Employee will be based on the following rating scale for KPA's and CCRs:

Category	Colour	Explanation
KPI's Not Met/ Unacceptable performance	1	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.
KPI's Almost Met / Not fully effective	2	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
KPI's Met / Fully effective	3	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
KPI's Well Met / Performance significantly above expectations	4	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.
KPI's Extremely Well Met / Outstanding Performance	5	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.

Edup  
N  
7/10

## KEY PERFORMANCE INDICATORS

Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Portfolio of evidence				Weight		
						Q1	Q2	Q3	Q4			
	Municipal Transformation and Institutional Development	Institutional development and municipal transformation	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Administration	90% of the KPIs of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	5	
	Municipal Transformation and Institutional Development	Institutional development and municipal transformation	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: HR	90% of the KPIs of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	4	
	Municipal Transformation and Institutional Development	Institutional development and municipal transformation	Effective Management and supervision of the SDBIP on the KPIs of Sub-Directorate: Libraries	90% of the KPIs of the sub directorate have been met as per Ignite Dashboard report	New KPI	Updated SDBIP and report	90%	90%	90%	90%	4	
<b>Strategic Performance (Top Layer: SDBIP)</b>												
	Municipal Transformation and Institutional Development	Institutional Development and Municipal Transformation	Development skills of staff (Actual total training expenditure/total operational budget)	% of total operational budget spent on training	0	Annual Financial Statements	0	0	0	0	1	4
	Municipal Transformation and Institutional Development	Institutional Development and Municipal Transformation	Implement individual performance management for all managers directly reporting to directors (Number of signed agreements/Number of managers directly reporting to directors)	% of agreements signed	Partly implemented	Report generated from PMS system	0	100	0	0	0	5
	Municipal Transformation and Institutional Development	Institutional Development and Municipal Transformation	Limit the vacancy level the organisation according to the approved organogram to less than 15%	% Vacancy level as % of approved organogram	0.2	HR statistics on filled and vacant posts	0	0	0	0	15	4
	Municipal Transformation and Institutional Development	Institutional Development and Municipal Transformation	Compile a policy register by the end of June	Register completed	New kpi for 2013/14	Completed register					1	4

Edup  
N Z = 7/14/14



## Annexure A 2013/14

Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Portfolio of evidence				Weight	
						Q1	Q2	Q3	Q4		
	Municipal Transformation and Institutional Development	Institutional Development and Municipal Transformation	100% of the grant spent for the maintenance of existing library services. (Actual expenditure divided by the total grant received)	% of budget spent	New kpi for 2013/14	Expense report from the financial system	25	50	75	100	4
	Basic Service Delivery	Basic service delivery and infrastructure development	Quarterly report to the Department of Social Services on the operation of the Thusong Centre	Number of reports	New kpi for 2013/14	Confirmation of receipt of the report	1	1	1	1	4
<b>Operational Performance (Departmental SDBIP)</b>											
	Good Governance & Public Participation	Good governance and community participation	Implement Council resolutions within the required timeframes	% of Council resolutions implemented	95%	Council resolution register	95	95	95	95	5
	Municipal Transformation and Institutional Development	Institutional Development and Municipal Transformation	Evaluate all identified personnel in terms of the performance management system	Number of formal evaluations completed	2	PMS evaluation report and individual score sheets	1	0	1	0	4
	Municipal Transformation and Institutional Development	Institutional Development and Municipal Transformation	Develop and sign performance agreements and performance development plans with all identified personnel before 31 August	% signed agreements and development plans	100%	Ignite PMS report and Signed agreements and development plans	100	0	0	0	5
	Municipal Financial Viability and Management	Financial viability and management	Spend all conditional grant funding during the financial year in accordance with the transfer payment agreement	% of grant funding spent	100%	Financial Statements	0	0	0	100	4
	Good Governance & Public Participation	Good governance and community participation	Compile and submit the annual performance report to of sec 46 of the MSA to Council end August	Report submitted by 31 August	1	Performance report	1	0	0	0	4
	Good Governance & Public Participation	Good governance and community participation	Submit the final annual report and oversight report to Council before 31 March	Final AR and oversight report submitted to Council	1	Minutes of Council meeting during which it was discussed	0	0	1	0	4
	Municipal Transformation and Institutional Development	Institutional development and municipal transformation	Facilitate the drafting of the Departmental SDBIP and submit to the MM for approval within 28 days after the approval of the main	Departmental SDBIP submitted to MM	1	Approved Departmental SDBIP	0	0	0	1	4

Handwritten signature and initials: *Handwritten signature and initials*

Annexure A 2013/14

Ref No	National KPA	Strategic Objectives	Key Performance Indicator (KPI)	Unit of Measurement	Baseline	Portfolio of evidence	Targets				Weight
							Q1	Q2	Q3	Q4	
	Municipal Financial Viability and Management	Financial viability and management	Facilitate the drafting of the top layer SDBIP and submit to the Mayor within 14 days after approval of the main budget	Top Layer SDBIP submitted to the Mayor	1	Approved top layer SDBIP	0	0	0	1	4
	Municipal Transformation and Institutional Development	Institutional development and municipal transformation	Prepare performance reports to for evaluation purposes by the MM, portfolio committees, performance audit committee and Council to ensure legal compliance with the Municipal Systems Act	No of SDBIP reports prepared and submitted	4	Reports submitted and minutes of meetings during which these reports were discussed	1	1	1	1	4
	Municipal Transformation and Institutional Development	Institutional development and municipal transformation	Prepare the Mid-year performance report in terms of s72 of the MFMA for service delivery performance during the first half of the financial year to submit to the MM by 25 January 2013	Report drafted and submitted by the 25 January	1	Completed report minutes of Council meeting during which report was discussed	0	0	1	0	4

Handwritten notes and signatures in the top right corner of the page.

**CORE COMPETENCY FRAMEWORK**


The performance of Section 57 Managers should be assessed in terms of Core Competency Requirements (CCRs) as required by the Regulations of Section 57 Managers. These competencies describe general managerial and occupational skills. The assessment of these competencies will account for **twenty percent** of the total employee assessment score. The bold CCR's are compulsory for all managers.

Annexure B describes the different proficiency levels for each Core Competency Requirements (CCRs) and should therefore form part of this section of the Performance Plan.

Core Managerial Skill	Select prov. level (refer Annexure B)	Definition	Weight
Strategic Leadership and Management		Skills to be able to provide a vision, set the direction for the municipality or department and inspire others in order to deliver on the municipality's mandate	2
Programme and project management		Skills to enable the individual to plan, manage, monitor and evaluate specific activities in order to ensure that policies are implemented and that local government objectives are achieved	2
<b>Financial Management</b>		Skills required to manage projects and/or department work within the constraints of a budget. This includes being able to plan a budget at the beginning of the financial year, controlling costs throughout the year by allocating resources appropriately and understanding and anticipating the impact of other departments on own budget and adopting where necessary.	2
Change Management		Skills to initiate and support municipal transformation and change in order to implement new initiatives successfully and deliver on service delivery commitments.	2
Knowledge management		This includes the working knowledge of Council regulations, by-laws and policies, National, Provincial and Local Government structures and applicable legislation.	1
Problem solving and analytical thinking		Be able to systematically identify, analyse and resolve existing and anticipated problems in order to reach optimum solutions in a timely manner	2
<b>People and diversity management</b>		Skills to manage and encourage people, optimise their outputs and effectively manage relationships. This includes holding regular meetings with his/ her team so that information can be shared and so that the team is aware of decisions that may affect them. It also involves distributing workloads so that individual skills are used appropriately and so that the work is evenly spread, making sure that the team has the necessary tools and resources in order to do their work and motivating the team so that they are committed to achieving the goals of the department and ultimately the municipality	2
<b>Client orientation and customer focus</b>		Whether providing a service to an internal or external customer this means trying to determine the needs of the customer and then meeting those needs. At a minimum employees are required	2

*Handwritten notes:*  
 Nic  
 Edup  
 Thed

Core Managerial Skill	Select prov. level (refer Annexure B)	Definition	Weight
		to react to queries, keeping promises, being honest in all their dealings, adhering to policies, procedures and delegations, keeping the client up to date, being friendly and helpful and solving problems quickly and without argument. Ideally managers are required to be proactive by trying to understand needs of the customer and providing an appropriate service based on these underlying needs	
Service delivery innovation		This is about wanting to work well to achieve a high standard by trying to improve on the way things are done and by working towards achieving the work objectives. It is also about putting plans into action, meeting deadlines, taking initiative and solving problems to make sure that things get done. Employees do not wait to be told to do something but rather are encouraged to use their initiative to make sure that things get done accurately and efficiently.	1
Communication		Skills to be able to exchange information and ideas in a clear and concise manner appropriate for the audience in order to explain, persuade, convince and influence others to achieve the desired outcomes.	2
Accountability and ethical conduct		Must be able to display and build the highest standards of ethical and moral conduct in order to promote confidence and trust in the municipality	2
<b>Total</b>			<b>20%</b>

  
 W. V. M.  
 Sup  
 7/11/14

## Competency Framework for CCR's

Handwritten notes and signatures, including the word "End" and a signature.

**COMPETENCY FRAMEWORK**

**Competency 1: Strategic Capability and Leadership**

**Definition:** Must be able to provide a vision, set the direction for the municipality and inspire others in order to deliver on the municipality's mandate.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Understands the municipality's strategic initiatives, but weak in inspiring others to achieve the set objectives;</li> <li>describes how specific tasks link to municipality's strategies, but experiences difficulty in putting the links into practice;</li> <li>aligns and prioritises own action plans to municipality's strategies but has limited influence in determining the strategic direction;</li> <li>demonstrates commitment through actions, and requires support for defining performance measures to evaluate the success of strategies.</li> </ul>	<ul style="list-style-type: none"> <li>Gives direction to team in realising the municipality's strategic objectives;</li> <li>impacts positively on management team morale, sense of belonging and participation;</li> <li>develops action plans to execute strategic initiatives;</li> <li>assists in defining performance measures to evaluate the success of strategies;</li> <li>identifies and communicates obstacles to executing specific strategies;</li> <li>supports stakeholders in achieving their goals;</li> <li>inspires staff with own behaviour - "walks, the talk";</li> <li>manages and takes calculated risks;</li> <li>communicates strategic plan to the municipality, and utilises strategic planning methods and tools.</li> </ul>	<ul style="list-style-type: none"> <li>Evaluates all activities to determine value added and alignment with the municipality's strategic goals;</li> <li>displays and contributes in-depth knowledge to strategic planning at the municipal level;</li> <li>ensures alignment of strategies across various functional areas to the municipality strategy;</li> <li>defines performance measures to evaluate the success of municipality's strategy;</li> <li>monitors and reviews strategic plans consistently and takes corrective action to keep plans on track in light of new challenges in the environment;</li> <li>promotes municipality's mission and vision to all relevant stakeholders;</li> <li>empowers others to deal with complex and ambiguous situations;</li> </ul>	<ul style="list-style-type: none"> <li>Structures and positions the municipality to Government priorities;</li> <li>develops and implements strategies for the municipality utilising in-depth knowledge of customers and clients, the conventions, changing trends, processes and constitutional framework of the government;</li> <li>holds self accountable for executing the strategy;</li> <li>builds and maintains a wide network of internal and external relationships to gain confidence, trust and respect from others;</li> <li>sought out as a leader who can motivate others to achieve a common goal;</li> <li>creates loyalty, comradeship and a municipal environment that permits innovative thinking, and develops strategic planning methods and tools.</li> </ul>

*Handwritten notes:*  
 2  
 2

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	
		EXPERT	
		<ul style="list-style-type: none"> <li>• achieves agreement or consensus in an adversarial environment;</li> <li>• guides the municipality through complexity and uncertainty of vision;</li> <li>• leads and unites diverse workgroups across municipal departments to achieve municipality objectives, and develops and implements risk management.</li> </ul>	

W. N. K. T. M. S.  
 2013  
 3

**Competency 2: Programme and Project Management**

**Definition:** Must be able to plan, manage, monitor and evaluate specific activities in order to ensure that policies are implemented and that Local Government objectives are achieved.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Commences project after council approval;</li> <li>understands procedures of project management, its implications and the importance of stakeholder involvement;</li> <li>understands the outcome of the project in relation to municipality's goals;</li> <li>possesses basic project management skills;</li> <li>documents and communicates issues and risks associated with own work;</li> <li>uses results of other successfully completed projects as points of reference; and</li> <li>applies existing policies in own field of work.</li> </ul>	<ul style="list-style-type: none"> <li>Establishes broad stakeholder involvement and communicates the project status and key milestones;</li> <li>defines roles and responsibilities for project team members and clearly communicates expectations;</li> <li>balances quality of work with deadlines and budget;</li> <li>monitors service level agreements with contractors;</li> <li>provides advice and guidance on policies; and</li> <li>complies with statutory requirements and apply policies consistently.</li> </ul>	<ul style="list-style-type: none"> <li>Monitors the management of multiple projects and balances priorities and conflicts between projects based on broader organisational goals;</li> <li>monitors the management of risks across multiple projects by examining total resource requirements and assessing impact of projects on the day-to-day operations;</li> <li>modifies project approach and budget without compromising the quality of outcomes and the desired results;</li> <li>involves top-level political authority and other relevant stakeholders in the buy-in process;</li> <li>motivates and coaches project teams to achieve highest project results; and</li> <li>monitors policy implementation and puts in place procedures to manage risks.</li> </ul>	<ul style="list-style-type: none"> <li>Conceptualises the long term effects of the desired outcomes of project;</li> <li>initiates several projects after conducting strategic analyses of organisation on the micro and macro levels;</li> <li>persuades political heads to adopt and implement results/outcomes of project;</li> <li>initiates projects that lead to the achievement of the long-term strategic objectives of the organisation;</li> <li>sponsors multiple projects by allocating resources and supports the goals of these projects; and</li> <li>leads and coordinates the translation of policy into action plans.</li> </ul>

*Handwritten notes:*  
 Done  
 N.E. Sand  
 T.M.D.  
 4



**Competency 3: Financial Management**

**Definition:** Must be able to comply with requirements for the accounting officer of the municipality as prescribed in the Municipal Finance Management Act No 56 of 2003.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>• Articulates basic financial concepts and techniques as they relate to municipal processes and tasks (e.g. performance budgeting and value for money);</li> <li>• is familiar with the different sources of financial data, reporting mechanisms and financial processes</li> <li>• understands importance of financial accountability;</li> <li>• understands the necessity for asset control;</li> <li>• recognises key expenditure and financial accounting and reporting concepts;</li> <li>• performs key financial management processes (expenditure, accounting and reporting) with guidance / direction;</li> <li>• tracks and measures actual expenditure against budget; and</li> <li>• understands the role of an audit function.</li> </ul>	<ul style="list-style-type: none"> <li>• Demonstrates knowledge of general concepts of financial planning, budgeting and forecasting and how they interrelate;</li> <li>• manages, monitors and assess financial risk;</li> <li>• continuously looks for new opportunities to obtain and save funds;</li> <li>• ensures the preparation of the financial reports based on prescribed format;</li> <li>• understands and weighs up financial implications of propositions;</li> <li>• ensures the control assets according to prescribed policies and procedures;</li> <li>• understands, analyses and monitors financial reports;</li> <li>• allocates resources to established goals and objectives;</li> <li>• manage expenditure in relation to cash flow projections;</li> <li>• ensures effective utilisation of financial resources;</li> </ul>	<ul style="list-style-type: none"> <li>• Ensures key planning, budgeting and forecasting processes and is able to answer questions in relation to the municipality's budget;</li> <li>• ensures the management of the financial planning, forecasting and reporting processes;</li> <li>• ensures the preparation of budgets that are aligned to the strategic objectives of the municipality's integrated Development Plan (IDP);</li> <li>• addresses complex budgeting and financial management issues;</li> <li>• ensures the formulation of long term financial plans and resource allocations;</li> <li>• ensures the development and implementation of systems, procedures and processes in order to improve financial management;</li> <li>• advise on policies and procedures regarding asset control;</li> </ul>	<ul style="list-style-type: none"> <li>• Develops planning tools that assist with evaluating and monitoring future expenditure trends;</li> <li>• ensures and monitors the setting of budgeting guidelines for the municipality;</li> <li>• sets strategic direction for the organisation / department in relation to expenditure and other financial processes;</li> <li>• ensures the identification and implementation of partnerships and explores other avenues to achieve financial savings and improved service delivery;</li> <li>• ensures the identification and implementation of new techniques to improve asset control;</li> <li>• evaluates financial impact of strategic decisions across the organisation;</li> </ul>

*Handwritten notes:*  
 K.P.C. EadP  
 7/14/14  
 5

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
	<ul style="list-style-type: none"> <li>develops corrective measures / actions to ensure alignment of budget to financial resources; and</li> <li>makes realistic projections in routine reports.</li> </ul>	<ul style="list-style-type: none"> <li>dynamically allocates resources according to internal and external objectives;</li> <li>ensure the development of Expenditure Key Performance Indicators (KPIs);</li> <li>succeeds in achieving maximum results with limited resources;</li> <li>assists others with financial accounting \ reporting tasks; and</li> <li>analyse projections in reports.</li> </ul>	<ul style="list-style-type: none"> <li>ensures the development of long-term plans, performance measures and budgets that are aligned with strategic objectives; and</li> <li>possesses financial acumen and understanding and runs the finances according to sound principles.</li> </ul>

TWD  
 A. N. C. S. P.  
 6

**Competency 4: Change Management**

**Definition:** Must be able to initiate and support municipal transformation and change in order to implement new initiatives successfully and deliver on service delivery commitments.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Communicates status, benefits and issues relating to change;</li> <li>identifies gaps between the current and the desired situation and reasons for resistance to change;</li> <li>accepts and successfully performs a supporting role in the change effort;</li> <li>identifies the need for change;</li> <li>participates in change programmes and piloting of change initiatives; and</li> <li>understands the impact of change initiatives on the municipality within the broader political and social context.</li> </ul>	<ul style="list-style-type: none"> <li>Performs analysis to determine the impact of changes in the social, political and economic environment;</li> <li>keeps self and others calm and focused during times of change or ambiguity;</li> <li>initiates, supports and encourages new ideas;</li> <li>volunteers to lead change efforts outside of own work team;</li> <li>consults and persuades all the relevant stakeholders of the need for change;</li> <li>proactively seeks new opportunities for change;</li> <li>identifies and assists in resolving resistance to change with stakeholders; and</li> <li>designs activities to enable change that are aligned to the municipality objectives.</li> </ul>	<ul style="list-style-type: none"> <li>Monitors results of change;</li> <li>secures buy-in and sponsorship for change efforts;</li> <li>ensures the design, development, evaluation and continuous improvement of the overall change strategy after wide consultation with the relevant stakeholders;</li> <li>customises and applies approaches to facilitate change;</li> <li>capitalises on relationships with various stakeholders in establishing strategic alliances in facilitating change efforts;</li> <li>ensures the implementation of major change programmes;</li> <li>benchmarks change initiatives and strategies against best practice;</li> <li>exhibits sensitivity to dynamics within the municipality which affect how quickly change is accepted;</li> </ul>	<ul style="list-style-type: none"> <li>Sponsors "change agents" (responsible for implementing the change) and creates a network of leaders who support and own the change;</li> <li>adapts current infrastructure to change initiatives;</li> <li>mentors others on the leadership of change;</li> <li>viewed as a thought leader in change management; and</li> <li>inspires change that has impact even wider than the municipality.</li> </ul>

N.C. Equip
   
 T.M.D.
   
 7

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	
		EXPERT	
		<ul style="list-style-type: none"> <li>takes calculated risks and is constantly seeking new ideas from leading edge organisations and external sources;</li> <li>defines outcomes and measurement criteria for change programmes; and</li> <li>creates innovative ways of reinforcing change, rewarding change acceptance and discouraging change resistance.</li> </ul>	

K. S. Edup  
 TMD  
 8

**Competency 5: Knowledge Management**

**Definition:** Must be able to promote the generation and sharing of knowledge and learning in order to enhance the collective knowledge of the municipality.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Collects, categorises and tracks relevant information required for specific tasks and projects;</li> <li>analyses and interprets information to draw conclusions;</li> <li>seeks new sources of information to increase own knowledge base; and</li> <li>shares information and knowledge with co-workers.</li> </ul>	<ul style="list-style-type: none"> <li>Uses appropriate information systems to manage municipal knowledge;</li> <li>uses modern technology to stay abreast of world trends and information;</li> <li>evaluates information from multiple sources and uses information to influence decisions;</li> <li>creates mechanisms and structures for sharing of knowledge in the municipality;</li> <li>uses libraries, researchers, knowledge specialists and other knowledge bases appropriately to improve municipality's efficiency;</li> <li>promotes the importance of knowledge sharing within own area;</li> </ul>	<ul style="list-style-type: none"> <li>Anticipates future knowledge management requirements and systems;</li> <li>ensures the development of standards and processes to meet future knowledge management requirements;</li> <li>shares and promotes best practices across the local government sector;</li> <li>ensures the monitoring and measurement of knowledge management capability in municipality;</li> <li>creates a culture of a learning organisation; and</li> <li>holds motivational sessions with colleagues to share information and new ideas.</li> </ul>	<ul style="list-style-type: none"> <li>Consulted by others for expert knowledge management capability;</li> <li>creates and supports a vision and culture where staff feel empowered to seek and share knowledge;</li> <li>establishes partnerships across municipal boundaries to facilitate knowledge management;</li> <li>recognises and exploits knowledge nodes in interactions with clients;</li> <li>strives to ensure that there is a correlation between the municipality and the knowledge strategies; and</li> <li>works across municipalities to identify and share critical knowledge.</li> </ul>

*Handwritten notes:*  
 KNE  
 TWD  
 9

PROFICIENCY LEVELS		
BASIC	COMPETENT	ADVANCED
	<ul style="list-style-type: none"> <li>adapts information sources to create knowledge solutions; and</li> <li>integrates multiple innovative management solutions; and nurtures a knowledge-enabling environment.</li> </ul>	
		EXPERT

RZ  
 RZ  
 RZ

**Competency 6: Service Delivery Innovation (SDI)**

**Definition:** Must be able to explore and implement new ways of delivering services that contribute to the improvement of municipal processes in order to achieve municipal goals.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Recommends new ways of performing tasks within the municipality;</li> <li>identifies and seeks potential sources of new ideas and approaches to enhance service delivery;</li> <li>proposes simple remedial solutions to simple service delivery orientated problems; and</li> <li>listens to the ideas and perspectives of others and explores opportunities to enhance these ideas.</li> </ul>	<ul style="list-style-type: none"> <li>Consults clients and stakeholders on ways to improve the delivery of services;</li> <li>communicates the benefits of service delivery improvement opportunities to stakeholders;</li> <li>identifies internal process improvement opportunities;</li> <li>identifies and analyses opportunities where innovative ideas can lead to improved service delivery;</li> <li>creates mechanisms to encourage innovation and creativity within functional area and across the organisation; and</li> <li>identifies innovative service delivery options for the municipality.</li> </ul>	<ul style="list-style-type: none"> <li>Formulates and implements new ideas throughout the organisation;</li> <li>ensures buy-in from key stakeholders; and utilises international best practices on SDI;</li> <li>ensure the alignment of the SDI initiatives with the latest technology;</li> <li>researches needs of clients;</li> <li>identifies the need for section 78 investigations in terms of the Municipal Systems Act;</li> <li>ensures service delivery mechanisms are innovative and fully comply with the Municipal Systems Act and the Municipal Finance Management Act; and</li> <li>inspires service providers to improve delivery of services.</li> </ul>	<ul style="list-style-type: none"> <li>Creates and supports a non-threatening environment where individuals feel empowered to come forward with new and unconventional ideas;</li> <li>plays an active role in international seminars / workshops / conferences on SDI; highly regarded by the private, the public and the international community on SDI; and</li> <li>integrates processes, policies and structures across the organisation to achieve improved efficiency and effectiveness on SDI.</li> </ul>

*Handwritten notes:*  
 Edup  
 R. N. M.  
 TMD  
 11

**Competency 7: Problem Solving and Analysis**

**Definition:** Must be able to systematically identify, analyse and resolve existing and anticipated problems in order to reach optimum solutions in a timely manner.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Understands the basic steps in problem solving and analysis and solves basic problems using municipal guidelines;</li> <li>identifies when to solve problems independently and when to consult others for resolution beyond own authority;</li> <li>participates actively and constructively in problem solving discussions; and</li> <li>identifies and documents issues associated with problems.</li> </ul>	<ul style="list-style-type: none"> <li>Explains potential impact of problems to own working environment;</li> <li>demonstrates logical problem solving approach and provides rationale for proposed solutions;</li> <li>determines root causes of problems and evaluates whether solutions address root causes;</li> <li>demonstrates objectivity, thoroughness, insightfulness, and probing behaviours when approaching problems; and</li> <li>demonstrates the ability to break down complex problems into manageable parts and identify solutions.</li> </ul>	<ul style="list-style-type: none"> <li>Coaches others on the analytical techniques and problem solving methods;</li> <li>anticipates organisational problems and strategises to counteract potential impact;</li> <li>involves the appropriate people, to resolve complex, inter-departmental problems;</li> <li>generates various solutions / options and contingency plans for problems;</li> <li>identifies the impact of solutions on multiple areas within the municipality; and</li> <li>develops contingency measures and explores various problem solving options.</li> </ul>	<ul style="list-style-type: none"> <li>Designs complex problem solving tools and methods;</li> <li>establishes and fosters an environment which encourages analytical and fact-based problem solving;</li> <li>puts preventative measures in place to ensure that problems do not recur in the future;</li> <li>identifies, solves and monitors unique issues or problems that have total organisational impact in consultation with the stakeholders;</li> <li>sought after by other municipalities as a thought leader in problem solving; and</li> <li>establishes completely new approaches to solving complex inter-departmental problems.</li> </ul>



**Competency 8: People and Diversity Management**

**Definition:** Must be able to manage and encourage people, optimise their outputs and effectively manage relationships in order to achieve the municipality's goals.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Participates in team goal setting and problem solving;</li> <li>interacts and collaborates with diverse groups of people;</li> <li>understands team strengths, weaknesses and preferences; and</li> <li>is aware of the appropriate steps and guidelines for employee development and feedback, but not yet fully able to implement these.</li> </ul>	<ul style="list-style-type: none"> <li>Seeks opportunities to increase personal contribution &amp; level of responsibility;</li> <li>supports and respects the individuality of others and recognises the benefits of diversity of ideas and approaches;</li> <li>delegates and empowers others to increase contribution and level of responsibility;</li> <li>applies labour and employment legislation and regulations consistently;</li> <li>facilitates team goal setting and problem solving;</li> <li>recognises individuals and teams and provides developmental feedback in accordance with performance management principles;</li> <li>adheres to internal and national standards with regards to human resource practices;</li> <li>identifies competencies required for and suitable resources for specific tasks;</li> </ul>	<ul style="list-style-type: none"> <li>Analyses ineffective team and work processes recommends improvement;</li> <li>recognises and rewards desired behaviours and results;</li> <li>mentors and counsels others; addresses balance between individual career expectations and organisational needs;</li> <li>considers developmental needs of personnel when building teams and assigning tasks;</li> <li>establishes an environment in which personnel can maximise their potential;</li> <li>guides others on managing people;</li> <li>inspires a culture of performance excellence by giving positive and constructive feedback to the team;</li> <li>creates links among various individuals and teams and instills a common sense of identity towards the achievement of goals by various team members and stakeholders;</li> </ul>	<ul style="list-style-type: none"> <li>Develops and incorporates best practice people management processes, approaches and tools across the organisation;</li> <li>creates processes to ensure accountability for people management and development;</li> <li>exceeds set goals for employment equity and affirmative action;</li> <li>has a reputation as a leader in fostering professional growth; sought after as a mentor;</li> <li>develops comprehensive, integrated strategies and approaches to managing human resources;</li> <li>develops systems and processes to recruit and retain high quality staff; and</li> <li>adapts leadership style to different people, cultures and situations.</li> </ul>

EduP

NIE

TMD

13

PROFICIENCY LEVELS		
BASIC	COMPETENT	ADVANCED
	<ul style="list-style-type: none"> <li>• displays personal interest in the well-being of colleagues;</li> <li>• able to manage own time as well as time of colleagues and other stakeholders; and</li> <li>• manages conflict through a participatory approach.</li> </ul>	<ul style="list-style-type: none"> <li>• shares knowledge of the big picture to help others understand their role; and</li> <li>• creates a culture of continuous learning and development.</li> </ul>
		EXPERT

9/12/14

Edup

TMD

**Competency 9: Client Orientation and Customer Focus**

**Definition:** Must be willing and able to deliver services effectively and efficiently in order to put the spirit of customer service (Batho Pele) into practice.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>• Acknowledges customers rights;</li> <li>• Applies customer knowledge to improve own organisation or department;</li> <li>• maintains good relationship with customers and understands their priorities;</li> <li>• redirects queries to the most appropriate person / solution provider and follows through to ensure customer needs are met; and</li> <li>• understands and complies with the content and requirements of chapter 4 of the Municipal Systems Act.</li> </ul>	<ul style="list-style-type: none"> <li>• Develops clear and implementable service delivery improvement programmes;</li> <li>• identifies opportunities to exceed the expectations of customers;</li> <li>• ensures the design of internal work processes to improve customer service;</li> <li>• adds value to the organisation by providing exemplary customer service;</li> <li>• applies customer rights in own work environment;</li> <li>• fully complies with the chapter 4 requirements of the Municipal Systems Act; and</li> <li>• finds innovative ways to include participation in the preparation of the IDP.</li> </ul>	<ul style="list-style-type: none"> <li>• Coaches others about the importance and application of customer and client knowledge;</li> <li>• fosters an environment in which customer satisfaction is valued and delivered;</li> <li>• addresses and resolves high-risk, high-profile stakeholder issues; and</li> <li>• takes advantage of opportunities to learn about stakeholders and brings this information to own functional area.</li> </ul>	<ul style="list-style-type: none"> <li>• Ensures the coordination of the implementation of service delivery improvements within budget, time, quality and targets set by the municipality;</li> <li>• establishes guidelines to balance cost-consciousness and service delivery;</li> <li>• establishes the municipality's strategic direction for managing services towards stakeholders;</li> <li>• demands broad and deeper knowledge of clients and customers to ensure better service delivery; and</li> <li>• utilises long term, collaborative and strategic relationships with clients and customers to better service delivery.</li> </ul>

*Handwritten notes:*  
 AS:2  
 Edup  
 TMS

**Competency 10: Communication**

**Definition:** Must be able to exchange information and ideas in a clear and concise manner appropriate for the audience in order to explain, persuade, convince and influence others to achieve the desired outcomes.

PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Shows understanding for communication tools appropriate for the audience but needs assistance in utilising them;</li> <li>expresses ideas in a clear and coherent manner but not always taking into account the needs of the audience; and</li> <li>assimilates information reasonably well.</li> </ul>	<ul style="list-style-type: none"> <li>Expresses ideas to individuals and groups, both in formal and informal settings in an interesting and motivating way;</li> <li>receptive to alternative viewpoints;</li> <li>adapts communication content and style according to the audience including managing body language effectively;</li> <li>delivers messages in a manner that gains support, commitment and agreement;</li> <li>writes complex documents that are clear and well structured;</li> <li>communicates controversial, sensitive messages to stakeholders tactfully;</li> <li>listens well and is receptive; and</li> <li>encourages participation and mutual understanding.</li> </ul>	<ul style="list-style-type: none"> <li>Communicates high risk sensitive matters to all relevant stakeholders;</li> <li>develops well defined communication strategy;</li> <li>balances political views with organisational needs when communicating differing viewpoints on complex issues;</li> <li>steers negotiations around complex issues and arrives at a win / win situation;</li> <li>markets and promotes the municipality to external stakeholders; and</li> <li>communicates with the media without compromising the integrity of the municipality.</li> </ul>	<ul style="list-style-type: none"> <li>Is sought after to lead negotiations and represent the municipality;</li> <li>inspires people with use of language;</li> <li>creates an environment that is conducive to productive communication; and</li> <li>coordinates negotiations at different levels within the municipality and externally.</li> </ul>

*Handwritten signatures and initials:*  
 A. K. ...  
 T. ...  
 Edup

**Competency 11: Accountability and Ethical Conduct**

**Definition:** Must be able to display and build the highest standards of ethical and moral conduct in order to promote confidence and trust in the Public Service.


PROFICIENCY LEVELS			
BASIC	COMPETENT	ADVANCED	EXPERT
<ul style="list-style-type: none"> <li>Realises the implications of not speaking and acting with integrity, but needs guidance in implementing these principles;</li> <li>follows through on commitments under supervision; and</li> <li>follows the rules and regulations of the organisation.</li> </ul>	<ul style="list-style-type: none"> <li>Conducts self in accordance with organisational values;</li> <li>admits own mistakes and weaknesses and seeks help from others where unable to deliver;</li> <li>reports fraud, corruption, nepotism and maladministration;</li> <li>honours the confidentiality of matters and does not use it for personal gain or the gain of others;</li> <li>deals promptly, and in the interest of the state, with situations where conflict of interest arises;</li> <li>establishes trust and shows confidence in others;</li> <li>treats all employees with equal respect;</li> <li>takes responsibility for own actions;</li> <li>undertakes roles and responsibilities in a sincere and honest manner;</li> </ul>	<ul style="list-style-type: none"> <li>Develops and applies self-corrective measures; makes proposals and recommendations in a way that wins the trust and support of others;</li> <li>promotes transparent and accountable administration; presents ideas and beliefs in a manner which is consistent with the rules and regulations of the organisation / department;</li> <li>acts decisively against corrupt and dishonest conduct;</li> <li>promotes the values and beliefs of the organisation internally and externally;</li> <li>co-operates with others and works as a team and not for personal benefit; and</li> <li>oversee the acceptance of responsibility and accountability in the organisation.</li> </ul>	<ul style="list-style-type: none"> <li>Creates an environment where integrity, honesty and accountability flourishes;</li> <li>develops and implements anti-corruption measures; is widely recognised as an expert in fighting fraud, corruption and other acts of dishonesty;</li> <li>sets shared accountabilities and integrity standards across the organisation in support of organisational objectives;</li> <li>is fully responsible for own actions even if it reflects negatively on self or organisation; and</li> <li>creates a culture of accountability.</li> </ul>

A. N. S. EduP
   
 TMD
   
 17

PROFICIENCY LEVELS		
BASIC	COMPETENT	ADVANCED
	<ul style="list-style-type: none"> <li>uses work time for municipal matters and not for personal matters; and</li> <li>shares information openly, whilst respecting the principle of confidentiality.</li> </ul>	
		EXPERT

Eduf  
 MND  
 18  
 MND

## Personal Development Plan

PLC  
NIE  
EWP  
TUD  


Skills Performance Gap	Outcomes Expected	Suggested training and /or development activity	Suggested mode of delivery	Suggested Time Frames	Work opportunity created to practice skill/development area	Support Person
1.						
2.						
3.						

Signed and accepted by the Employee

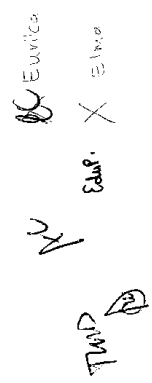
N. N. N.

Date: 03 July 2013

Signed by the Municipal Manager on behalf of the Municipality

Acting  
Municipal Manager

Date: 2013/07/04


  
 TWP  
 Edup. X  
 Euvica  
 Euvica



CONFIDENTIAL

Appendix C

FINANCIAL DISCLOSURE FORM

I, the undersigned (surname and initials) MFUNDISI N.E

(Postal address) 116 SEBASTIAN STREET AUCORALE  
PRINCE ALBERT 7500

(Residential address) 208 RIVER OLIVE ESTATE VERBODEN STR  
BEAUFORT WEST 6970

(Position held) DIRECTOR : CORPORATE SERVICES

(Name of Municipality)  
BEAUFORT WEST MUNICIPALITY

Tel: 023 4148032 Fax: \_\_\_\_\_

hereby certify that the following information is complete, and correct to the best of my knowledge:

- Shares and other financial interests (Not bank accounts with financial institutions.)  
See information sheet: note (1)

Number of shares/Extent of financial interests	Nature	Nominal Value	Name of Company/Entity
25	ORDINARY SHARES		SASOL
27	"		MTN GROUP/ASOWE
10	"		STRAT COMP

- Directorships and partnerships  
See information sheet: note (2)

Name of corporate entity, partnership or firm	Type of business	Amount of Remuneration/Income
N/A		

*Nyaga GC*  
*AA*

CONFIDENTIAL

**3. Remunerated work outside the Municipality**  
Must be sanctioned by Council. See information sheet: note (3)

Name of Employer	Type of Work	Amount of remuneration/ Income
N/A		

Council \_\_\_\_\_

Signature by Council \_\_\_\_\_ Date \_\_\_\_\_

**4. Consultancies and retainerships**  
See information sheet: note (4)

Name of client	Nature	Type of business activity	Value of any benefits received
N/A			

**5. Sponsorships**  
See information sheet: note (5)

Source of assistance/sponsorship	Description of assistance/ Sponsorship	Value of assistance/sponsorship
N/A		

**6. Gifts and hospitality from a source other than a family member**  
See information sheet: note (6)

Description	Value	Source
N/A		

CONFIDENTIAL

*Nedj ac*

7. Land and property  
See information sheet: note (7)

Description	Extent	Area	Value
House No 3218	744 SQM	PAROW	1 000 000
FLAT 17907	44 SQM	PAROW	420 000
PLOT 232	900 SQM	MIMASBURG	120 000

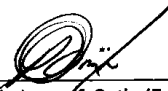
SIGNATURE OF EMPLOYEE

DATE: 05/03/2013

PLACE: BEANFORT WEST

OATH/AFFIRMATION

1. I certify that before administering the oath/affirmation I asked the deponent the following questions and wrote down her/his answers in his/her presence:
  - (i) Do you know and understand the contents of the declaration?  
Answer: YES
  - (ii) Do you have any objection to taking the prescribed oath or affirmation?  
Answer: No
  - (iii) Do you consider the prescribed oath or affirmation to be binding on your conscience?  
Answer: YES
2. I certify that the deponent has acknowledged that she/~~he~~ knows and understands the contents of this declaration. The deponent utters the following words: "~~I swear that the contents of this declaration are true, so help me God.~~" / "I truly affirm that the contents of the declaration are true". The signature/mark of the deponent is affixed to the declaration in my presence.

  
Commissioner of Oath /Justice of the Peace



Full first names and surname:  
P. de V. Strümpler (Block letters)

*Handwritten initials/signature*

CONFIDENTIAL

Designation (rank) Director Ex Officio Republic of South Africa

Street address of institution 208 Kuqa Owo Estate BEAUFORT WEST  
6970

Date 08/03/2013 Place Beaufort West

CONTENTS NOTED: EXECUTIVE MAYOR/ MAYOR

DATE: \_\_\_\_\_